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U.S. PATENT & TRADEMARK OFFICE

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

**Under 37 CFR 1.97(b), (c), or (d)**

Docket No.

SAR 14179

In re Application of: **Avery, et al.**

Serial No.  
**10/007,833**

Filing Date  
**November 5, 2001**

Examiner  
**Tran, Tan N.**

Group Art Unit  
**2826**

Title: **SILICON CONTROLLED RECTIFIER ELECTROSTATIC DISCHARGE PROTECTION DEVICE WITH EXTERNAL ON-CHIP TRIGGERING AND COMPACT INTERNAL DIMENSIONS FOR FAST TRIGGERING**

Address to:  
**Assistant Commissioner for Patents  
Washington, D.C. 20231**

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**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

**37 CFR 1.97(d)**

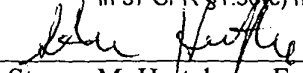
3. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

**Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)**

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))
- ☒ No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))
- ☐ The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.

**37 CFR §1.704(d)**

4. ☐ Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

  
Steven M. Hertzberg, Esq.

Dated: 5/7/03

Reg. No. 41,834

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I certify that this document is being deposited on 5-7-03 with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

  
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U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. SAR 14179	Serial No. 10/007,833
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Applicant Avery, et al.	Confirmation No.: 7121
(Use several sheets if necessary)		Filing Date 11/05/2001	Group 2826
Examiner Tran, Tan N.			

**U.S. Patent Documents**

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	5,907,462	05/25/1999	Chatterjee, et al.	361	56	
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						
	A11						
	A12						

**Foreign Patent Documents**

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1						<input type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER ART**

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	
	C2	
	C3	

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.